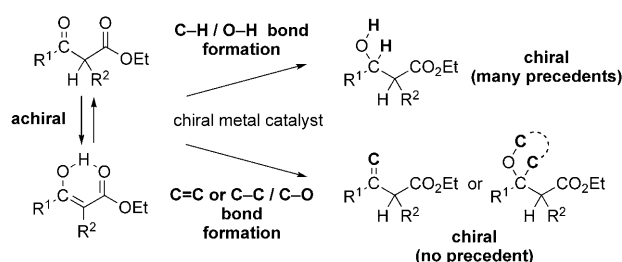


# Rhodium-Catalyzed Asymmetric Formal Olefination or Cycloaddition: 1,3-Dicarbonyl Compounds Reacting with 1,6-Diynes or 1,6-Enynes\*\*

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Transition-metal-catalyzed asymmetric hydrogenation of enolizable  $\beta$ -ketoesters leading to  $\beta$ -hydroxyesters is a useful method for the one-step construction of two consecutive stereocenters.<sup>[1–3]</sup> In this reaction, the ketone carbonyl group is enantioselectively reduced with hydrogen through C–H/O–H bond formation (Scheme 1). In contrast, asym-



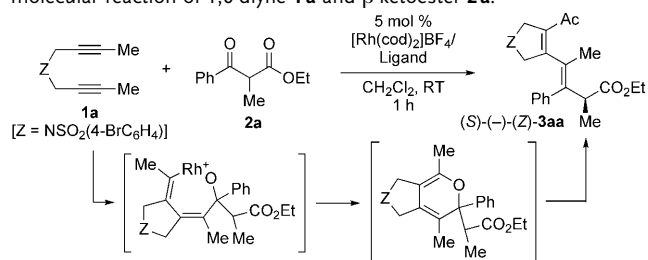
**Scheme 1.** Transition-metal-catalyzed asymmetric C–H/O–H versus C=C or C–C/C–O bond-forming reactions of enolizable  $\beta$ -ketoesters.

metric olefination or cycloaddition of the ketone carbonyl group of  $\beta$ -ketoesters through C=C or C–C/C–O bond formation would furnish chiral  $\beta,\gamma$ -unsaturated esters or  $\beta$ -alkoxyesters in a single step (Scheme 1).<sup>[4]</sup> Despite potential synthetic utility of such reactions, no report has been found in the literature to date. In 2007 our research group reported that a cationic rhodium(I)/H<sub>8</sub>-binap complex<sup>[5,6]</sup> is a highly active and versatile catalyst for the [2+2+2] cycloaddition<sup>[7]</sup> of 1,2-dicarbonyl compounds with 1,6-diynes.<sup>[8–12]</sup> After this report, we succeeded using the cationic rhodium(I)/H<sub>8</sub>-binap complex as a catalyst in the asymmetric [2+2+2] cycloaddition of 1,2-dicarbonyl compounds with 1,6-enynes, thereby constructing two stereocenters with high enantio-

and diastereoselectivity.<sup>[13,14]</sup> We report herein the cationic rhodium(I)/H<sub>8</sub>-binap or segphos complex as a catalyst for the asymmetric formal olefination and cycloaddition of 1,3-dicarbonyl compounds with 1,6-diynes and 1,6-enynes, respectively, which construct one or three stereocenters with high diastereo- and enantioselectivity.<sup>[15]</sup>

We first investigated the reaction of  $\beta$ -ketoester **2a** (1.1 equiv) with sulfonamide-linked 1,6-diyne **1a** in the presence of a cationic rhodium(I)/(R)-binap complex (5 mol %). Gratifyingly, the reaction proceeded at room temperature for only 1 hour to give  $\alpha$ -methyl- $\beta,\gamma$ -unsaturated ester **3aa** with moderate yield and enantioselectivity presumably through [2+2+2] cycloaddition and subsequent electrocyclic ring opening<sup>[16]</sup> (Table 1, entry 1). After screening biaryl

**Table 1:** Screening of ligands for rhodium-catalyzed asymmetric intermolecular reaction of 1,6-diyne **1a** and  $\beta$ -ketoester **2a**.<sup>[a]</sup>



| Entry | Ligand                    | <b>2a</b> [equiv] | Yield [%] <sup>[b]</sup> (E/Z) | ee [%] <sup>[c]</sup> |
|-------|---------------------------|-------------------|--------------------------------|-----------------------|
| 1     | (R)-binap                 | 1.1               | 69 (1:7)                       | 61 (S)                |
| 2     | (R)-H <sub>8</sub> -binap | 1.1               | 66 (1:7)                       | 70 (S)                |
| 3     | (R)-segphos               | 1.1               | 61 (1:5)                       | 92 (S)                |
| 4     | (R)-segphos               | 2.0               | 83 (1:6)                       | 94 (S)                |
| 5     | (R)-H <sub>8</sub> -binap | 2.0               | 97 (1:7)                       | 96 (S)                |

[a] In all entries, complete conversions of **1a** were observed. [b] Yield of isolated product. [c] The ee value and absolute configuration of a major olefin geometric isomer. (R)-binap = (R)-2,2'-bis(diphenylphosphino)-1,1'-binaphthyl, (R)-H<sub>8</sub>-binap = (R)-2,2'-bis(diphenylphosphino)-5,5',6,6',7,7',8,8'-octahydro-1,1'-binaphthyl, cod = 1,5-cyclooctadiene, (R)-segphos = (R)-5,5'-bis(diphenylphosphino)-4,4'-bi-1,3-benzodioxole.

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bisphosphine ligands (entries 1–3), the use of (R)-segphos furnished **3aa** with the highest ee value, but the yield was still moderate (entry 3). The use of excess **2a** (2.0 equiv) in the reaction using (R)-segphos increased the product yield along with slight improvement of the product ee value (entry 4). Significant improvement of both the product yield and ee value using excess **2a** was observed in the reaction using (R)-H<sub>8</sub>-binap, which furnished **3aa** with the highest yield and ee value (entry 5). The absolute configuration of the major

product (–)-(Z)-**3aa** was determined to be *S* by derivatization into the known (*R*)-2-benzoyl-1-propanol.<sup>[17]</sup>

The generality of the asymmetric intermolecular formal olefination of 1,3-dicarbonyl compounds with 1,6-diynes was then examined by using the cationic rhodium(I)/(*R*)-H<sub>8</sub>-binap complex as a catalyst at room temperature (Table 2).<sup>[16]</sup> With respect to 1,6-diynes, a variety of symmetrical and unsymmetrical internal 1,6-diynes (**1a–e**; entries 1–5) could be employed for this reaction, although a moderate *ee* value was observed in the case of **1c** (entry 3) and slow additions

were required in cases of **1d** and **1e** (entries 4 and 5). With respect to 1,3-dicarbonyl compounds, both aryl-substituted β-ketoesters **2a** and **2b** (entries 1 and 6) and 1,3-diketone **2c** (entry 7) reacted with **1a** to give the formal olefination products with high yields and *ee* values. However, the reactions of both methyl-substituted β-ketoester **2d** (entry 8) and 1,3-diketone **2e** (entry 9) with **1a** proceeded in lower yields because of the formation of the homo-[2+2+2]-cycloaddition products of **1a**, although the *ee* values were high. The formation of chloro- or fluoro-substituted

stereocenters (entries 10–13) other than methyl-substituted ones was also possible, although the lower enantioselectivity was observed.

Next, the asymmetric [2+2+2] cycloaddition of β-ketoester **2a** with sulfonamide-linked 1,6-enyne **4** was attempted, and was expected to furnish the bicyclic chiral ester **5** possessing three stereocenters (Scheme 2). However, no reaction was observed at room temperature, and the homo-[2+2+2] cycloaddition of 1,6-enyne **4** proceeded at 80 °C.

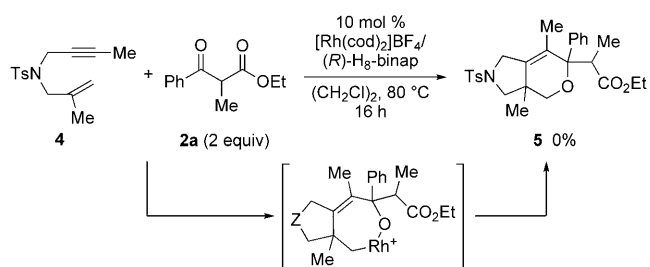
Thus, an asymmetric intramolecular [2+2+2] cycloaddition of a β-ketoester with a 1,6-enyne was investigated as shown in Table 3.<sup>[18]</sup> Fortunately, the reaction of substrate **6a**, in which the 1,6-enyne and α-methyl-β-ketoester moieties are connected with a benzene ring, in the presence of the cationic rhodium(I)/(*S*)-binap complex (10 mol %) proceeded at 80 °C to give the desired cycloaddition product **7a** in good yield with excellent diastereoselectivity, although the enantioselectivity was moderate (entry 1). After screening biaryl bisphosphine ligands (entries 1–3), the use of (*S*)-segphos furnished **7a** with the highest yield and *ee* value (entry 3). As increasing the steric bulk on the phosphorus [(*S*)-xyl-segphos] decreased both the yield and *ee* value (entry 4), (*S*)-segphos was selected as the best ligand.

The generality of this asymmetric intramolecular [2+2+2] cycloaddition of 1,3-dicarbonyl compounds with 1,6-enynes was then examined by using the cationic rhodium(I)/(*S*)-segphos complex as a catalyst at 80 °C (Table 4). With respect to the 1,3-dicarbonyl moieties, both acetyl (**6a**; entry 1) and benzoyl esters (**6b**; entry 2) could

**Table 2:** Rhodium-catalyzed asymmetric intermolecular formal olefination of 1,3-dicarbonyl compounds with 1,6-diynes.<sup>[a]</sup>

| Entry             | 1   | 2                   | t [h] | Yield [%] <sup>[b]</sup>                       | <i>ee</i> [%] <sup>[c]</sup> |
|-------------------|---|---------------------|-------|--|------------------------------|
|                   |   |                     |       |  |                              |
| 1                 | <b>1a</b> (Z = NSO <sub>2</sub> Ar, <sup>[d]</sup> R <sup>1</sup> = R <sup>2</sup> = Me)  | <b>2a</b>           | 1     | ( <i>S</i> )- <b>3aa</b> : 97 (E/Z = 1:7)      | 96                           |
| 2                 | <b>1b</b> (Z = NTs, R <sup>1</sup> = R <sup>2</sup> = Me)                                 | <b>2a</b>           | 1     | <b>3ba</b> : 95 (E/Z = 1:8)                    | 95                           |
| 3 <sup>[e]</sup>  | <b>1c</b> (Z = C(CO <sub>2</sub> Bn) <sub>2</sub> , R <sup>1</sup> = R <sup>2</sup> = Me) | <b>2a</b>           | 16    | <b>3ca</b> : 72 (E/Z = 1:7)                    | 59                           |
| 4 <sup>[f]</sup>  | <b>1d</b> (Z = NTs, R <sup>1</sup> = R <sup>2</sup> = Et)                                 | <b>2a</b>           | 3     | <b>3da</b> : 24 (E/Z = 1: > 20)                | 99                           |
| 5 <sup>[f]</sup>  | <b>1e</b> (Z = NTs, R <sup>1</sup> = Ph, R <sup>2</sup> = Me)                             | <b>2a</b>           | 16    | <b>3ea</b> : 62 (E/Z = 1: > 20) <sup>[g]</sup> | 99                           |
|                   |   |                     |       |  |                              |
| 6                 | <b>1a</b>   | <b>2b</b>           | 1     | <b>3ab</b> : 89 (E/Z = 1:1)                    | 95 (E), 95 (Z)               |
|                   |   |                     |       |  |                              |
| 7                 | <b>1a</b>   | <b>2c</b>           | 1     | <b>3ac</b> : > 99 (E/Z = 1:4)                  | 99                           |
|                   |   |                     |       |  |                              |
| 8                 | <b>1a</b>   | <b>2d</b> (R = OEt) | 1     | <b>3ad</b> : 38 (E/Z = 1:6)                    | 94                           |
| 9                 | <b>1a</b>   | <b>2e</b> (R = Me)  | 16    | <b>3ae</b> : 54 (E/Z = 1:2)                    | 94 (E), 93 (Z)               |
|                   |   |                     |       |  |                              |
| 10                | <b>1a</b>   | <b>2f</b> (R = Ph)  | 1     | <b>3af</b> : 96 (E/Z = 1:3)                    | 79                           |
| 11                | <b>1a</b>   | <b>2g</b> (R = Me)  | 1     | <b>3ag</b> : 50 (E/Z = 1: > 20)                | 84                           |
| 12 <sup>[e]</sup> | <b>1c</b>   | <b>2g</b> (R = Me)  | 16    | <b>3cg</b> : 71 (E/Z = 1: > 20)                | 74                           |
|                   |   |                     |       |  |                              |
| 13 <sup>[e]</sup> | <b>1c</b>   | <b>2h</b>           | 16    | <b>3ch</b> : 65 (E/Z = 1:10)                   | 67                           |

[a] Reactions conditions: [Rh(cod)<sub>2</sub>]BF<sub>4</sub> (5 mol %), (*R*)-H<sub>8</sub>-binap (5 mol %), **1a–e** (1 equiv), **2a–h** (2 equiv) in CH<sub>2</sub>Cl<sub>2</sub> at room temperature. Structure of the major olefin geometry isomer was described. [b] Yield of isolated product. [c] The *ee* value of the major olefin geometric isomer. [d] Ar = 4-BrC<sub>6</sub>H<sub>4</sub>. [e] Ligand: (*S*)-segphos (entry 3). Ligand: (*R*)-segphos (entries 12 and 13). [f] **1a** was added to **2a** and the Rh catalyst over 2 h. [g] The regioisomer was generated in approximately 10%, although this compound could not be isolated in a pure form. Bn = benzyl, Ts = 4-toluenesulfonyl.



**Scheme 2.** Rhodium-catalyzed intermolecular reaction of 1,6-enyne **4** and  $\beta$ -ketoester **2a**.

**Table 3:** Screening of ligands for rhodium-catalyzed asymmetric intramolecular reaction of **6a**.

| Entry | Ligand                    | Conv. [%] <sup>[a]</sup> | Yield [%] <sup>[b]</sup> | ee [%] |
|-------|---------------------------|--------------------------|--------------------------|--------|
| 1     | (S)-binap                 | 90                       | 72                       | 61 (+) |
| 2     | (S)-H <sub>8</sub> -binap | 100                      | 87                       | 45 (+) |
| 3     | (S)-segphos               | 100                      | 94                       | 86 (+) |
| 4     | (S)-xyl-segphos           | 59                       | 43                       | 60 (+) |

[a] Determined by <sup>1</sup>H NMR analysis. [b] Yield of isolated product. xyl-segphos = 5,5'-bis[di(3,5-dimethylphenyl)phosphino]-4,4'-bi-1,3-benzodioxole.

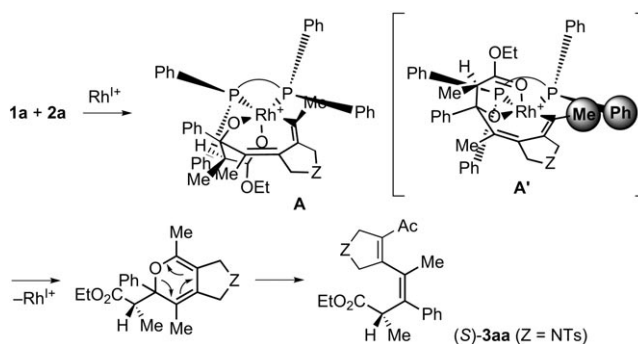
equally be employed to give tetracyclic esters **7a** and **7b**, respectively in high yields with good *ee* values. This observation is in sharp contrast to the reactions of entries 1 and 8 in Table 2 that exhibited significantly different reactivity. Not only  $\beta$ -ketoesters but also 1,3-diketone **6e** could participate in this reaction, although the product yield decreased (entry 5). With respect to the 1,6-enyne moieties, not only sulfonamide-linked 1,6-enynes but also malonate-linked 1,6-enynes **6c** and **6d** could be employed (entries 3 and 4). With respect to the tethers between the 1,6-enyne and 2-methylene-1,3-dicarbonyl moieties, not only the phenyl group but also the methoxyphenyl (**6f**; entry 6) and chlorophenyl (**6g**; entry 7) groups could be employed to give tetracyclic esters **7f** and **7g**, respectively, in high yields with good *ee* values. Furthermore, tricyclic ester **7h** could also be obtained with high *ee* value, although a high catalyst loading was required (entry 8). Importantly, the present asymmetric intramolecular [2+2+2] cycloaddition of 1,3-dicarbonyl compounds with 1,6-enynes is highly diastereoselective. Other diastereomers were detected in at least less than 5% yields by <sup>1</sup>H NMR analysis of the crude reaction mixture.

Possible mechanisms for the selective formation of (S)-**3aa** and (3*aR*,5*aR*,6*R*)-**7b** using (R)-H<sub>8</sub>-binap and (S)-segphos ligands are shown in Schemes 3 and 5, respectively, although the precise mechanisms cannot be concluded at the present stage. The reaction of **1a** and **2a** with the cationic rhodium(I)/(R)-H<sub>8</sub>-binap complex furnishes intermediate **A** through coordination of the ester carbonyl group to rhodium.

**Table 4:** Rhodium-catalyzed asymmetric intramolecular cycloaddition of 1,3-dicarbonyl compounds with 1,6-enynes.<sup>[a]</sup>

| Entry            | <b>6</b>  | <i>t</i> [h] | Yield [%] <sup>[b]</sup>   | ee [%] |
|------------------|---|--------------|--|--------|
| 1                | <b>6a</b> (Z = NTs, R = Me)                                 | 16           | (+)- <b>7a</b> : 94,   | 86     |
| 2                | <b>6b</b> (Z = NTs, R = Ph)                                 | 16           | (3 <i>aR</i> ,5 <i>aR</i> ,6 <i>R</i> )-(-)- <b>7b</b> <sup>[c]</sup> : 95 | 87     |
| 3                | <b>6c</b> (Z = C(CO <sub>2</sub> Me) <sub>2</sub> , R = Me) | 16           | (-)- <b>7c</b> : 98  | 88     |
| 4                | <b>6d</b> (Z = C(CO <sub>2</sub> Me) <sub>2</sub> , R = Ph) | 24           | (-)- <b>7d</b> : 94  | 74     |
| 5 <sup>[d]</sup> | <b>6e</b>   | 16           | (+)- <b>7e</b> : 68  | 85     |
| 6                | <b>6f</b> (R = OMe)   | 16           | (+)- <b>7f</b> : 80  | 80     |
| 7                | <b>6g</b> (R = Cl)  | 16           | (+)- <b>7g</b> <sup>[c]</sup> : 90   | 84     |
| 8 <sup>[e]</sup> | <b>6h</b>   | 24           | (+)- <b>7h</b> : 59  | 92     |

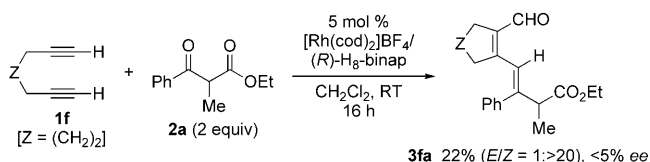
[a] Reaction conditions: [Rh(cod)<sub>2</sub>]BF<sub>4</sub> (10 mol%), (S)-segphos (10 mol%), **6a–h** in (CH<sub>2</sub>Cl)<sub>2</sub>, 80 °C. [b] Yield of isolated product. [c] The relative and absolute configuration of (-)-**7b** was determined to be 3*aR*,5*aR*,6*R* by the X-ray crystallographic analysis of the corresponding 4-bromobenzoyl ester (-)-**8**.<sup>[17,19]</sup> The relative configuration of (±)-**7g** was also confirmed by the X-ray crystallographic analysis of the corresponding 4-bromobenzoyl ester (±)-**9**.<sup>[17,19]</sup> [d] Ligand: (S)-binap. [e] Catalyst: 20 mol%.



**Scheme 3.** Possible mechanism for cationic rhodium(I)/(R)-H<sub>8</sub>-binap-catalyzed selective formation of (S)-**3aa**.

Reductive elimination of rhodium and subsequent electrocyclic ring-opening furnishes (*S*)-**3aa**. The formation of intermediate **A'**, which would furnish (*R*)-**3aa**, is unfavorable because of the steric interaction between the equatorial phenyl group on the phosphorus atom of (*R*)-H<sub>8</sub>-binap and the methyl group derived from **1a**.

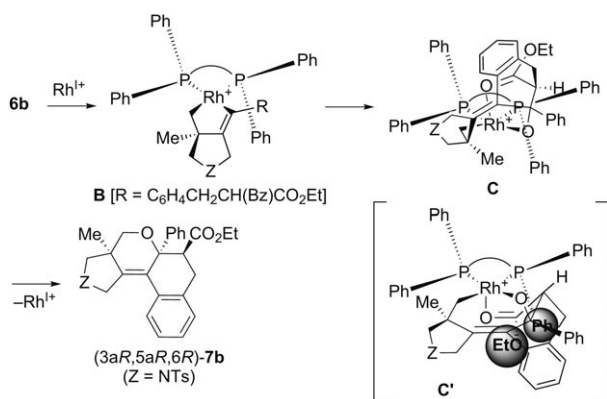
Indeed, the reactions of sterically more demanding internal diynes **1d,e** and **2a** furnished products **3da** and **3ea**, respectively, with higher *ee* values than **3ba** (Table 2, entries 4 and 5 versus entry 2). In contrast, the reaction of sterically less demanding terminal diyne **1e**<sup>[20]</sup> and **2a** furnished almost racemic product **3fa** (Scheme 4).



**Scheme 4.** Rhodium-catalyzed asymmetric intramolecular cycloaddition of 1,3-dicarbonyl compound with 1,6-diyne.

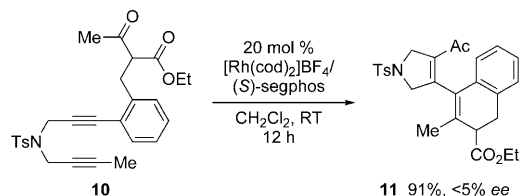
As shown in Scheme 5, the reaction of **6b** with the cationic rhodium(I)/(*S*)-segphos complex furnishes intermediate **B**, in which one chiral center is constructed enantioselectively. Indeed, this observed enantioface selection is consistent with our previously reported rhodium-catalyzed asymmetric intermolecular [2+2+2] cycloaddition of 1,2-dicarbonyl compounds with 1,6-enynes.<sup>[13]</sup> Subsequent ketone carbonyl group insertion and coordination of the ester carbonyl group to rhodium are able to furnish two intermediates, **C** and **C'**, in which two additional chiral centers are constructed diastereoselectively. However, the formation of the intermediate **C'**, which furnishes (3*aR*,5*aS*,6*S*)-**7b**, would be unfavorable because of the steric interaction between the axial phenyl group on the phosphorus atom of (*S*)-segphos and the ethoxy group derived from **6b**. Thus, reductive elimination of rhodium from the intermediate **C** furnishes (3*aR*,5*aR*,6*R*)-**7b**.

Importantly, the opposite absolute configurations of the tertiary stereocenter,  $\alpha$  to the carbonyl group, were observed



**Scheme 5.** Possible mechanism for cationic rhodium(I)/(*S*)-segphos-catalyzed selective formation of (3*aR*,5*aR*,6*R*)-**7b**.

between the intermolecular reaction of 1,3-dicarbonyl compounds with 1,6-diynes and the intramolecular reaction of 1,3-dicarbonyl compounds with 1,6-enynes. Thus for comparison, the intramolecular reaction of a 1,3-dicarbonyl compound with a 1,6-diyne, not a 1,6-enyne, was examined. Interestingly, the reaction of substrate **10** proceeded to give almost racemic product **11**, although the product yield was high (Scheme 6).



**Scheme 6.** Rhodium-catalyzed intramolecular cycloaddition of 1,3-dicarbonyl compound with 1,6-diyne.

In conclusion, we have developed the cationic rhodium(I)/(*R*)-H<sub>8</sub>-binap complex as a catalyst for the asymmetric intermolecular formal olefination of enolizable 1,3-dicarbonyl compounds with 1,6-diynes by [2+2+2] cycloaddition and subsequent electrocyclic ring opening. The asymmetric intramolecular [2+2+2] cycloaddition of 1,3-dicarbonyl compounds with 1,6-enynes was also accomplished by using a cationic rhodium(I)/(*S*)-segphos complex as a catalyst. Future work will focus on the synthetic application of this methodology.<sup>[21]</sup>

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